

## BALANCED FACTORISATIONS

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*Any rational number can be factored into a product of several rationals whose sum vanishes. This simple but nontrivial fact was suggested as a problem on a mathematical olympiad for high school students. We completely solve similar questions in all finite fields and in some other rings, e.g., in the complex and real matrix algebras. Also, we state several open questions.*

## 0. Introduction

*“Prove that any rational number can be factored into a product of several rationals whose sum vanishes.”*

This problem was invented by the second author and suggested at the Kazakhstan republican mathematical olympiad for high-school students in 2013 [Vas13]. A similar question about arbitrary fields of characteristic not two was suggested at the Algebra olympiad for university students at Moscow university in 2014 [Vas14]. Afterwards, we learnt that the problem had been considered earlier [Iva13] (also in an educational context).

The existence of such balanced factorisations is easy to prove in any field of characteristic not two (see Theorem 0 below). However, the question on the possible numbers of factors in such factorisations is much more difficult. This question is the main subject of our paper. For example, any rational admits a balanced factoring into a product of five factors, but some rationals do not admit balanced factorings into products of three factors [Iva13]; the question about four factors is open and seems to be difficult.<sup>\*)</sup> For instance, the author of [Iva13] reproduced the following letter by M. A. Tsfasman to him:

$$3 = (363/70) \cdot (20/77) \cdot (-49/110) \cdot (-5). \quad \text{Yφ. . .}$$

*Baw M.A.*

This is in Russian but no translation is needed — the letter contains the first discovered balanced decomposition of 3 into four factors in the field of rationals (along with an interjection and signature). Such decompositions of 1 and 2 are less impressive:  $1 = 1 \cdot 1 \cdot (-1) \cdot (-1)$  and  $2 = \frac{1}{6} \cdot \frac{9}{2} \cdot (-\frac{2}{3}) \cdot (-4)$ . [Iva13] contains computer-generated balanced decompositions of first fifty positive integers into products of four rational factors.

A similar problem for finite fields seems to be easier. Indeed, in each given finite field, we can use a brute-force search and find all element admitting balanced decompositions into any given number of factors. This is what we actually did until we realised that some more advanced algebra gives a complete and computer-free solution to the problem in all finite fields.

One of our main results (Theorem 2) describes all pairs  $(q, k)$  such that every element of the  $q$ -element field  $\mathbb{F}_q$  admits a balanced decomposition into a product of  $k$  factors. The answer is nontrivial and rather complicated. For instance, it turns out that, in all finite fields except exactly one, each element admits a balanced factoring into a product of at most three factors. The role of the unique exception is played by the seven-element field  $\mathbb{F}_7$ . The main tool of our study of finite fields is Hasse’s estimate of the number of rational points of an elliptic curve over a finite field.

In Section 2, we prove also that, in each field of characteristic not 2, there is a “universal” formula allowing us to obtain a balanced factorisation of almost any element. For example, formula (1) gives a balanced factorisation into five factors for any nonzero element (in any field of characteristic not two). We prove that similar formulae exist for 6, 7, and any larger numbers of factors but do not exist for three factors. This fact is deduced from the Mason–Stothers theorem (the *abc*-theorem for polynomials).

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<sup>\*)</sup> When this paper was written, we learned that this question has a positive answer [KMP16].

In Section 3, we show that the question about balanced factorisations in finite-dimensional algebras is in essence reduced to a similar question about fields. This allows us to solve the problem completely for some natural algebras, e.g., the matrix algebras over  $\mathbb{C}$  and  $\mathbb{R}$ . The last section contains a list of questions remaining open.

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## 1. General results and remarks

The formal definition of our main object looks as follows: suppose that an element  $a$  of a ring is factored into a product:  $a = a_1 a_2 \dots a_k$ ; we call this factorisation *balanced* if  $\sum a_i = 0$ .

First note that over algebraically closed fields the problem is trivial:

*for any  $k \geq 2$  any element of any algebraically closed field admits a balanced decomposition into a product of  $k$  factors.*

Indeed, for a given  $a$  and  $k$ , we should find  $a_1, \dots, a_k$  such that  $a = a_1 \dots a_k$  and  $a_1 + \dots + a_k = 0$ . The solution is straightforward: take, for example,  $a_3 = \dots = a_k = 1$  and find  $a_1$  and  $a_2 = 2 - k - a_1$  from the quadratic equation  $a_1(2 - k - a_1) = a$ .

The second observation is that the problem is easy (though non-trivial) for any field of characteristic not two provided the number of factors is at least five.

**Theorem 1.** *For each  $k \geq 5$ , in any field of characteristic not two, every element decomposes into a product of  $k$  factors whose sum vanishes. For each  $k < 5$ , there exists a field of characteristic not two where a similar assertion is false.*

**Proof.** Let us prove the first assertion. For zero element, we have nothing to prove; for nonzero element  $a$ , we can solemnly write

$$a = \frac{a}{2} \cdot \frac{a}{2} \cdot (-a) \cdot \frac{2}{a} \cdot \left(-\frac{2}{a}\right). \quad (1)$$

This gives a balanced decomposition into five factors. A slight modification of (1) gives a balanced decomposition of any element  $b$  into six factors:

$$b = c^2 - ca = \frac{a}{2} \cdot \frac{a}{2} \cdot (c - a) \cdot \frac{2}{a} \cdot \left(-\frac{2}{a}\right) \cdot (-c), \quad \text{where } c \text{ is an element such that } 0 \neq c^2 \neq b, \text{ and } a = \frac{c^2 - b}{c}.$$

(Such  $c$  exists, except the case where the field is  $\mathbb{F}_3$  and  $b = 1$ ; in this exceptional case we can take the factoring  $b = 1 = 1^3(-1)^3$ .)

A balanced decomposition into  $k \geq 7$  factors can be obtained by multiplying one of the above decompositions and a balanced decompositions of minus one into two factors:  $-1 = (-1) \cdot 1$ . For example, we obtain the following balanced decomposition of any element into a product of 100 factors:

$$-b = -(c^2 - ca) = \frac{a}{2} \cdot \frac{a}{2} \cdot (c - a) \cdot \frac{2}{a} \cdot \left(-\frac{2}{a}\right) \cdot (-c) \cdot (-1)^{47} \cdot 1^{47}.$$

(Note that  $-b$  is an arbitrary element if  $b$  is an arbitrary element). This completes the proof of the first assertion.

The second assertion follows from Theorem 2 (see the next section): for  $k \leq 3$ , the field  $\mathbb{F}_7$  is a required example; for  $k = 4$ , we can take  $\mathbb{F}_3$ . This completes the proof of Theorem 1.

Note that, in this study, we consider no decompositions as “trivial”. We allow factors to be 1, or  $-1$ , or anything and the problem remains non-trivial. Actually, the role of bad decompositions is played by so-called *power* decompositions, i.e. decompositions with all factors equal. This is not *a priori* clear why such factorisations are useless, but look at Theorem 4.

## 2. Fields

**Theorem 2.** *Suppose that  $k \geq 2$  is an integer and  $F$  is a finite field. Then, in  $F$ , any element can be decomposed into a product of  $k$  factors whose sum vanishes if and only if*

- either  $|F| = 2$  and  $k$  is even,*
- or  $|F| = 4$  and  $k \neq 3$ ,*
- or  $|F|$  is a power of two but neither two nor four (and  $k$  is arbitrary),*
- or  $|F| \in \{3, 5\}$  and  $k \notin \{2, 4\}$ ,*
- or  $|F| = 7$  and  $k \notin \{2, 3\}$ ,*
- or  $|F|$  is neither a power of two nor three nor five nor seven and  $k \neq 2$ .*

In other words, the situation in finite fields is the following:

	$k = 2$	$k = 3$	$k = 4$	$k = 5, 7, 9, \dots$	$k = 6, 8, 10, \dots$
$\mathbb{F}_2$	yes	no	yes	no	yes
$\mathbb{F}_3$	no	yes	no	yes	yes
$\mathbb{F}_4$	yes	no	yes	yes	yes
$\mathbb{F}_5$	no	yes	no	yes	yes
$\mathbb{F}_7$	no	no	yes	yes	yes
$\mathbb{F}_8, \mathbb{F}_{16}, \mathbb{F}_{32}, \mathbb{F}_{64}, \dots$	yes	yes	yes	yes	yes
$\mathbb{F}_9, \mathbb{F}_{11}, \mathbb{F}_{13}, \mathbb{F}_{17}, \dots$	no	yes	yes	yes	yes

**Proof.** Let us arrange the proof by the columns of this table.

**Case  $k = 2$ .** In a finite field of characteristic two, any element is a square (because the order of the multiplicative group of such a field is odd), i.e. each element is a product of two equal factors whose sum vanishes, because the characteristic is two. If the characteristic of a finite field is not two, then not every element is a square and, therefore, not every element decomposes into a product of two factors whose sum vanishes (because the equality  $a = x \cdot (-x)$  implies that  $-a$  is a square; so, if each element has a balanced decomposition into a product of two factors, then each element is a square).

**Case  $k = 3$ .** If the characteristic is three, then the order of the multiplicative group  $q - 1 = 3^k - 1$  is not divisible by three and, therefore, each element is a cube and the decomposition  $a = bbb$  is as required (because  $b + b + b = 0$  in a field of characteristic three).

To study the fields of other characteristics, we need the well-known Hasse's estimate (also known as the Hasse–Weil bound).

**Hasse's estimate** (see, e.g., [Sil86], Theorem V.1.1). *The number of points of an elliptic curve (i.e. a nonsingular and irreducible over the closure of the field projective curve of genus one) over a finite  $q$ -element field  $\mathbb{F}_q$  is at least  $q + 1 - 2\sqrt{q}$ .*

*In particular, this is true for nonsingular and irreducible (over the closure of the field) cubic curves in the projective plane over  $\mathbb{F}_q$ .*

Let us continue the proof assuming that the characteristic is not three. We have to show that the system of equations

$$\begin{cases} x + y + z = 0 \\ xyz = a \end{cases} \quad (2)$$

over a finite field  $\mathbb{F}_q$  has at least one solution for any  $a \in \mathbb{F}_q$ . In other words, we have to show that the cubic (affine) curve defined by the equation

$$xy(x + y) = -a$$

has at least one point over  $\mathbb{F}_q$ . In homogeneous coordinates, the corresponding projective curve has the equation

$$XY(X + Y) = -aZ^3, \quad (3)$$

and singular points of this curve are the solutions of the system of equations consisting of equation (3) and its partial derivatives with respect to  $X$ ,  $Y$ , and  $Z$ :

$$\begin{cases} XY(X + Y) = -aZ^3 \\ 2XY + Y^2 = 0 \\ 2XY + X^2 = 0 \\ -3aZ^2 = 0 \end{cases} \quad (4)$$

We assume that  $a \neq 0$ , because if  $a = 0$ , then system (2) has an obvious solution (zero). Therefore, (and since the characteristic is not three) the last equation of (4) implies  $Z = 0$ . The difference of the second and third equations shows that  $X = \pm Y$ ; now, the second equation shows that  $X$  and  $Y$  are zero (recall that  $\text{char } \mathbb{F}_q \neq 3$ ). Thus, system (4) has no nonzero solutions, i.e. our projective curve has no singular points over the closure of the field (if  $\text{char } \mathbb{F}_q \neq 3$ ). This automatically implies that our curve is irreducible (and, therefore, elliptic), because a reducible cubic curve always has a singular point (over the closure of the field): this is a point of intersection of components.

Thus, we can apply Hasse's estimate and conclude that projective cubic (3) has more than three points over the field  $\mathbb{F}_q$  if the characteristic of this field is not three and  $q + 1 > 2\sqrt{q} + 3$ . This inequality holds for  $q \geq 8$ . Thus, for  $q \geq 8$ , the projective curve contains more than three points and, hence, the corresponding affine curve contains at least one point, because the intersection of an irreducible cubic with the line at infinity cannot contain more than three points\*), i.e. system (2) has a solution as required.

It remains to investigate the fields  $\mathbb{F}_2$ ,  $\mathbb{F}_4$ ,  $\mathbb{F}_5$ , and  $\mathbb{F}_7$ .

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\*) In the case under consideration, the curve contains precisely three points at infinity:  $(1, 0, 0)$ ,  $(0, 1, 0)$ , and  $(1, -1, 0)$  (in homogeneous coordinates).

In  $\mathbb{F}_2$ , the unity obviously has no balanced decompositions into a product of three factors (because factors cannot be zero, but  $1 + 1 + 1 \neq 1$ ).

In  $\mathbb{F}_4$ , any nonzero balanced product  $xyz$  of three factors cannot contain equal factors (because  $x + x = 0$ ), therefore, there is exactly one such product: this is the product of all nonzero elements of the field and it equals one; hence, elements different from one and zero do not admit balanced decompositions into products of three factors.

In  $\mathbb{F}_5$ , system (2) has a solution:  $x = y = b$ ,  $z = -2b$ , where  $b$  is a cubic root of  $-\frac{a}{2}$  (in  $\mathbb{F}_5$ , any element is a cube).

The seven-element field indeed is an exception:  $\pm 3$  have no balanced decompositions into products of three factors: if

$$\begin{cases} x + y + z = 0 \\ xyz = \pm 3 \end{cases},$$

then  $x$ ,  $y$ , and  $z$  must be pairwise different. Indeed, if  $y = x$ , then  $z = -2x$  and  $\mp 3 = 2x^3$ , but  $\mp 3$  is not twice a cube (cubes in  $\mathbb{F}_7$  are 0 and  $\pm 1$ ). Certainly, no two from  $x$ ,  $y$ , and  $z$  can be opposite. So, only one possibility remains (up to signs and permutations):

$x = \pm 1$ ,  $y = \pm 2$ , and  $z = \pm 3$ . But the product of such three numbers is  $\pm 1$ , not  $\pm 3$ . (The element 3 has a shorter balanced decomposition:  $3 = 2 \cdot (-2)$  but  $-3$  has no such factorisations.)

**Case  $k = 4$ .** Let us try to obtain a balanced decomposition of an element  $a \in F$  into a product of four factors, where one factor is 1. The following argument (up to a point) are similar to the proof in the case  $k = 3$ . We want to show that the system of equations

$$\begin{cases} x + y + z + 1 = 0 \\ xyz = a \end{cases} \quad (2')$$

over a finite field  $\mathbb{F}_q$  has at least one solution for any  $a \in \mathbb{F}_q$ . In other words, we want show that the cubic (affine) curve defined by the equation

$$xy(x + y + 1) = -a$$

has at least one point over  $\mathbb{F}_q$ . In homogeneous coordinates, the corresponding projective curve has the equation

$$XY(X + Y + Z) = -aZ^3 \quad (3')$$

and the singular points of this curve are the solutions of the system consisting of equation (3') and its partial derivatives with respect to  $X$ ,  $Y$ , and  $Z$ :

$$\begin{cases} XY(X + Y + Z) = -aZ^3 \\ 2XY + Y^2 + YZ = 0 \\ 2XY + X^2 + XZ = 0 \\ XY = -3aZ^2 \end{cases} \quad (4')$$

The difference of the second and third equations is  $Y^2 - X^2 + Z(Y - X) = 0$ . Thus, either  $X + Y + Z = 0$  or  $X = Y$ .

If  $X + Y + Z = 0$ , then the first equation of (4') gives  $Z = 0$ . Now, the last equation of (4') gives  $XY = 0$ , and, therefore all unknowns vanish (because we assume that  $X + Y + Z = 0$ ).

If  $X = Y$ , then the second equation of (4') shows that  $3X^2 + XZ = 0$ . Here, if  $X = 0$ , then  $Y = 0$  and, therefore,  $Z = 0$  (from the first equation of (4')). If  $X \neq 0$ , we obtain  $3X + Z = 0$ . Then, the last equation of (4') gives  $27a = -1$ . Thus, if  $27a \neq -1$  and  $|F| \geq 8$ , then we can apply Hasse's estimate and conclude that  $a \in F$  has a balanced decomposition into a product of four factors (one of which is 1). If  $27a = -1$ , we have a balanced decomposition of  $a$ :

$$-\frac{1}{27} = \left(-\frac{1}{3}\right) \cdot \left(-\frac{1}{3}\right) \cdot \left(-\frac{1}{3}\right) \cdot 1.$$

(Actually, if  $27a = -1$ , the curve is singular but the singular point itself is not a point at infinity and, hence, gives a balanced factorisation of  $a$ .)

It remains to consider small fields  $F$  with  $|F| < 8$ . In  $\mathbb{F}_2$  and in  $\mathbb{F}_4$  (as well as in any finite field of characteristic two) any element is the fourth power of another element and this gives a balanced decomposition into a product of four (equal) factors.

In  $\mathbb{F}_3$ , the only nonzero balanced product of four factors is  $1 \cdot 1 \cdot (-1) \cdot (-1)$  and it equals 1; therefore,  $-1$  does not admit such decompositions.

In  $\mathbb{F}_5$ , a product of four nonzero factors can be one of the following:

$$(\pm 1)(\pm 1)(\pm 1)(\pm 1), \quad (\pm 1)(\pm 1)(\pm 1)(\pm 2), \quad (\pm 1)(\pm 1)(\pm 2)(\pm 2), \quad (\pm 1)(\pm 2)(\pm 2)(\pm 2), \quad (\pm 2)(\pm 2)(\pm 2)(\pm 2).$$

The first, third, and fifth products equal  $\pm 1$ , because all squares equal  $\pm 1$ . In the second and fourth product, there are only two arrangements of signs making the sum of factors zero:

$$\begin{aligned} 1 \cdot 1 \cdot 1 \cdot 2, \quad & (-1) \cdot (-1) \cdot (-1) \cdot (-2), \\ (-1) \cdot 2 \cdot 2 \cdot 2, \quad & 1 \cdot (-2) \cdot (-2) \cdot (-2). \end{aligned}$$

All these products equal two; therefore,  $-2 \in \mathbb{F}_5$  admits no balanced decomposition into a product of four factors.

In  $\mathbb{F}_7$ , we find explicit balanced decompositions:

$$0 = 0^4, \quad 1 = 1^2 \cdot (-1)^2, \quad -1 = 1 \cdot 1 \cdot 2 \cdot 3, \quad 2 = 2^2 \cdot (-2)^2, \quad -2 = 1 \cdot (-2)^2 \cdot 3, \quad 3 = (-1) \cdot 2 \cdot 3^2, \quad -3 = (-1)^3 \cdot 3.$$

**Case of even  $k > 4$ .** If each element  $a$  has a balanced decomposition into a product of  $k$  factors:  $a = a_1 \dots a_k$ , then each element has a balanced decomposition into a product of  $(k+2)$  factors:  $-a = a_1 \dots a_k \cdot 1 \cdot (-1)$  (because  $-a$  is an arbitrary element if  $a$  is an arbitrary element). Therefore, it suffices to prove the assertion for  $k = 6$ . Moreover, for all finite fields, except  $\mathbb{F}_3$  and  $\mathbb{F}_5$ , the assertion is true, because we have constructed a balanced decomposition of each element into a product of four factors.

In  $\mathbb{F}_3$ , we have  $0 = 0^6$ ,  $1 = 1^6$ ,  $-1 = 1^3 \cdot (-1)^3$ . In  $\mathbb{F}_5$ , the balanced product  $x \cdot x \cdot (-2x) \cdot 1 \cdot 1 \cdot (-2)$  equals  $-x^3$  which is any element, because all elements are cubes.

**Case of odd  $k > 4$ .** The same induction as in the case of even large  $k$  makes it possible to reduce the problem to the case  $k = 5$ . Moreover, for all finite fields, except  $\mathbb{F}_2$ ,  $\mathbb{F}_4$ , and  $\mathbb{F}_7$ , the assertion is true, because we have already constructed a balanced decomposition of each element into a product of three factors.

In  $\mathbb{F}_7$ , the desired decomposition exists by Theorem 0. In  $\mathbb{F}_4$ , we can write  $a = b^2xyz$ , where  $b$  is a square root of  $a$  and  $x, y, z$  are all nonzero elements of the field (their product is one and their sum is zero). In  $\mathbb{F}_2$ , there are no balanced decompositions of 1 into products of odd number of factors (because factor cannot be zero and the sum of an odd number of unities is not zero). This completes the proof.

Formula (1) can be considered as a “universal formula” making it possible to factorise balancedly almost any element of any field of characteristic not two into a product of five factors (where *almost any* means any, except a finite number of elements). Theorem 0 shows that such a universal formula exists for each  $k \geq 5$ . The proof of Theorem 0 gives explicit formulae:

$$t = \underbrace{\frac{t}{2} \cdot \frac{t}{2} \cdot (-t) \cdot \frac{2}{t} \cdot \left(-\frac{2}{t}\right)}_{5 \text{ factors}} = \underbrace{\frac{1-t}{2} \cdot \frac{1-t}{2} \cdot t \cdot \frac{2}{1-t} \cdot \frac{2}{t-1}}_{6 \text{ factors}} \cdot (-1) = \underbrace{\left(-\frac{t}{2}\right) \cdot \left(-\frac{t}{2}\right) \cdot t \cdot \left(-\frac{2}{t}\right) \cdot \frac{2}{t} \cdot (-1)}_{7 \text{ factors}} \cdot 1 = \dots$$

The following theorem shows that no “universal formula” for balanced decompositions into three factors exists (a universal balanced decomposition into two factors do not exist either for an obvious reason; the question about four factor remains open, see the last section).

**Theorem 3.** *For any field  $F$ , the element  $t$  of the field of rational fractions  $F(t)$  does not admit a balanced decomposition into a product of three factors.*

**Proof.** Assuming the contrary (and finding a common denominator), we obtain the identity

$$t^s = \frac{x(t)}{v(t)} \cdot \frac{y(t)}{v(t)} \cdot \frac{z(t)}{v(t)}, \quad \text{where } x, y, z \in F[t] \text{ and } x + y + z = 0.$$

We have to show that  $s \neq 1$ , but we prefer to prove a stronger fact:

*the above equalities imply that  $s$  is a multiple of 3.*

The polynomials  $x$ ,  $y$ , and  $z$  can be assumed to be coprime, because the equality  $xyz = t^s v^3$  shows that an irreducible common divisor of  $x$ ,  $y$ , and  $z$  must either divide  $v$  or be  $t$ ; in both cases, the equation can be cancelled. In addition, we may assume that  $v(0) \neq 0$  (increasing  $s$  if needed).

Let us recall the well-known Mason–Stothers theorem ([May84], [Sto81]) that can be found in many books (see, e.g., [Lang02]). We prefer to use the version due to Snyder, which works in any characteristic.

**Mason–Stothers theorem** (in the form of Snyder [Sny00]). *If three polynomials  $x, y, z \in F[t]$  over a field  $F$  are coprime and  $x + y + z = 0$ , then either the degrees of all these polynomials are strictly less than the number of different roots of the product  $xyz$  in the algebraic closure of  $F$  or all three derivatives  $x'$ ,  $y'$ , and  $z'$  vanish (as polynomials).*

In the case under consideration,  $xyz = t^s v^3$  and the number of different roots of this polynomial is at most  $\deg v + 1$ ; therefore, the Mason–Stothers theorem says that either the degree of each of  $x, y, z$  is at most the degree of  $v$  or  $x' = y' = z' = 0$ .

In the first case,  $\deg(xyz) \leq 3 \deg v$  and, hence,  $s = 0$  (because  $xyz = t^s v^3$ ) as required. In the second case, the derivative of the product vanishes:  $0 = (xyz)' = (t^s v^3)' = s t^{s-1} v^3 + 3 t^s v^2 v' = v^2 t^{s-1} (s v + 3 t v')$ ; cancelling  $v^2 t^{s-1}$ , we obtain  $s v = -3 t v'$ . This means that  $s$  is divisible by  $\text{char } F$ , since  $v(0) \neq 0$ . Therefore, either  $\text{char } F = 3$  and  $s$  is a multiple of three as required, or  $v' = 0$ .

If  $v' = 0$ , let us recall that an equality  $f' = 0$  means that the polynomial  $f$  has the form

$$f(x) = f_1(x^p), \quad \text{where } p \text{ is the characteristic of the field, and } f_1 \text{ is a polynomial.}$$

Therefore, substituting

$$x(t) = x_1(t^p), \quad y(t) = y_1(t^p), \quad z(t) = z_1(t^p), \quad v(t) = v_1(t^p),$$

to the initial identity, we obtain that  $s$  is divisible by  $p$  and, putting  $t^p = \tau$ , we arrive to a similar equality for polynomials of lower degree:

$$\tau^{s/p} = \frac{x_1(\tau)}{v_1(\tau)} \cdot \frac{y_1(\tau)}{v_1(\tau)} \cdot \frac{z_1(\tau)}{v_1(\tau)}, \quad \text{where } x_1, y_1, z_1 \in F[\tau] \text{ and } x_1 + y_1 + z_1 = 0.$$

An obvious induction completes the proof.

### 3. Algebras

**Lemma 1.** *Suppose that the value of a one-variable polynomial over an associative commutative ring with unity at some point  $d$  is nilpotent and the value of the derivative at this point is invertible. Then the polynomial has a root in this ring. Moreover, for some root  $b$ , the difference  $d - b$  is divisible by  $f(d)$ .*

**Proof.** An obvious change of variables reduces the situation to case, where  $d = 0$ . Suppose that the polynomial over a ring  $R$  has the form  $f(x) = a_0 + a_1x + \dots + a_nx^n$ , where  $a_1$  is invertible and  $a_0^s = 0$ . We argue by induction on  $s$  and have to prove that  $f$  has a root, divisible by  $a_0$ .

In the quotient ring  $\bar{R} = R/(a_0^{s-1}R)$ , the image  $\bar{f}$  of  $f$  has a root  $\bar{ca}_0$  by the induction hypothesis. Take some preimage  $c \in R$  of the element  $\bar{c} \in \bar{R}$  and let us try to find a root of  $f$  in the form  $b = ca_0 + ta_0^{s-1}$ , where  $t$  is an (unknown) element of  $R$ . Since  $a_0^s = 0$ , we have

$$f(b) = a_0 + a_1(ca_0 + ta_0^{s-1}) + \dots + a_n(ca_0 + ta_0^{s-1})^n = f(ca_0) + a_1ta_0^{s-1}. \quad (5)$$

Now,  $ca_0$  is a root of  $f$  modulo the ideal  $a_0^{s-1}R$  and, hence,  $f(ca_0) \in a_0^{s-1}R$ , i.e.  $f(ca_0) = ra_0^{s-1}$  for some  $r \in R$ . It remains to note that, in (5),  $f(b)$  vanishes if we take  $t = -r/a_1$ . This completes the proof.

The following theorem reduces the question on balanced factorisations in finite-dimensional algebras to a similar question in fields if we take into account only *non-power* factorisations, i.e. factorisations having at least two non-equal factors.

**Theorem 4.** *Let  $F$  be a field and let  $n$  be an integer larger than two. If, in all finite extensions of  $F$ , each element has a non-power balanced decomposition into a product of  $n$  elements, then the same is true for each element of each finite-dimensional associative unital algebra over  $F$ .*

**Proof.** Clearly, it suffices to prove the assertion for finite-dimensional one-generator unital algebras (because any element of any algebra lies in a one-generated subalgebra). Thus, we assume that an algebra  $A$  over  $F$  has the form  $A = F[x]/(f)$ , where  $f \in F[x]$ . Such algebra  $A$  decomposes into a direct sum

$$A \simeq \bigoplus_{i=1}^m F_i[x]/(x^{k_i}), \quad \text{where fields } F_i \text{ are finite extensions of } F$$

( $F_i \simeq F[x]/(p_i)$  if  $f = \prod p_i^{k_i}$  is the decomposition of  $f$  into a product of irreducible (over  $F$ ) factors). It suffices to obtain a balanced decomposition for each direct term. Therefore, we assume, that  $A = G[x]/(x^k)$ , where the field  $G$  is a finite extension of  $F$ . Such algebra  $A$  is local, i.e. it has a unique maximal ideal  $I$  (generated by  $x$ ),  $A/I \simeq G$  and all elements not lying in  $I$  are invertible.

We want to decompose any element  $a \in A$  into a product of  $n$  elements with zero sum.

**Case I.**  $a \notin I$ . In this case, we find a non-power balanced decomposition of  $a$  modulo ideal  $I$ , i.e. in the field  $G$ . Thus, we obtain elements  $a_1, \dots, a_n \in A$  such that

$$a - a_1a_2 \dots a_n \in I, \quad a_1 + \dots + a_n \in I \quad \text{and (without loss of generality)} \quad a_1 - a_n \notin I.$$

This means that, for the quadratic polynomial

$$g(t) = a + ta_2a_3 \dots a_{n-1}(t + a_2 + a_3 + \dots + a_{n-1}), \quad \text{we have} \quad g(a_1) \in I. \quad (6)$$

For the derivative of  $g$ , we obtain

$$g'(a_1) = a_2 a_3 \dots a_{n-1} (a_1 + a_2 + a_3 + \dots + a_{n-1}) + a_1 a_2 a_3 \dots a_{n-1} \in a_2 a_3 \dots a_{n-1} (a_1 - a_n) + I.$$

The ideal  $I$  consists of nilpotent elements and all elements of  $A \setminus I$  are invertible. Therefore, the conditions of Lemma 1 are satisfied, because  $a_1 \not\equiv a_n \pmod{I}$ . Applying Lemma 1, we find a root  $\tilde{t} \in A$  of  $g$  and obtain a decomposition:

$$a = \tilde{t} a_2 a_3 \dots a_{n-1} (-\tilde{t} - a_2 - a_3 - \dots - a_{n-1}) \quad \text{with zero sum of factors.} \quad (7)$$

This decomposition is non-power, because  $\tilde{t} \equiv a_1 \pmod{I}$  by Lemma 1 and  $a_1 \not\equiv a_n \pmod{I}$  by the assumption.

**Case II.**  $a \in I$ . Let us choose an invertible (i.e. not lying in  $I$ ) elements  $a_2, \dots, a_{n-1} \in A$  in such a way that their sum is also invertible. This is possible if the field  $G = A/I$  has more than two elements. If the field  $G$  is two-element, then the unit element has in  $G$  no non-power decomposition that contradicts the condition.

For the polynomial  $g(t)$  (see formula (6)) we obtain that  $g(0) = a$  is a nilpotent element and

$$g'(0) = a_2 a_3 \dots a_{n-1} (a_2 + a_3 + \dots + a_{n-1}) \text{ is an invertible element.}$$

Therefore, by Lemma 1,  $g$  has a root  $\tilde{t} \in A$  as required (see (7)). Decomposition (7) cannot be power, because  $a_2$  is invertible but  $a$  is not. This completes the proof.

**Corollary 1.** *Each element of a finite-dimensional associative unital algebra (over a field) decomposes into a product of*

- a) *three elements whose sum vanishes if the field is algebraically closed;*
- b) *five elements whose sum vanishes if the characteristic of the field is not two.*

**Proof.** The first assertion follows immediately from Theorem 4, because, in an algebraically closed field, each element has a non-power balanced decomposition into a product of three factors (to obtain a non-power balanced decomposition  $a = a_1 a_2 a_3$  of a given element  $a$ , we can choose any element  $a_1$  such that  $a_1^3 \neq a$  and then  $a_2$  and  $a_3$  can be found from a quadratic equation).

To prove the second assertion, it suffices to apply Theorems 2 and 0 and note that formula (1) always gives a non-power decomposition.

**Corollary 2.** *For any  $k \geq 3$ , any complex or real matrix can be decomposed into a product of  $k$  matrices (over the same field) whose sum vanishes.*

**Proof.** The assertion follows immediately from Theorem 4, because each real or complex number  $a$  admits a nonpower balanced decomposition  $a = x \cdot (x + 1) \cdot 1^{k-3} \cdot (2 - k - 2x)$ , as this equality is a cubic equation with respect to  $x$ .

Now, we give examples showing that no conditions of Theorem 4 and its corollaries can be omitted.

**Example 1.** Each element of the tree-element field  $\mathbb{F}_3$  has a balanced decomposition into a product of three factors:  $0 = 0 \cdot 0 \cdot 0$ ,  $1 = 1 \cdot 1 \cdot 1$ ,  $2 = 2 \cdot 2 \cdot 2$ . However, in the two-dimensional algebra  $A = \mathbb{F}_3[x]/(x^2)$  over this field, the element  $1 + x$  does not admit balanced decompositions into a product of three factors, because the decomposition  $1 = 1 \cdot 1 \cdot 1$  is the unique balanced decomposition of 1 in  $\mathbb{F}_3$ ; therefore, the balanced decomposition of  $1 + x \in A$  must have the form  $1 + x = (1 + kx)(1 + lx)(1 + mx)$  (where  $k, l, m \in \mathbb{F}_3$ ), whence we obtain  $k + l + m = 1$  and the decomposition is not balanced. This example shows that Theorem 4 become false if we omit the words *non-power*.

**Example 2.** In the algebra of polynomials  $F[x]$  over any field, the element  $x$  has no balanced decompositions. This example shows that finite-dimensionality condition cannot be omitted in Theorem 4 and Corollary 1.

In algebras with zero multiplication, no nonzero element has balanced decompositions. This shows that the condition that the algebra has a unit also cannot be omitted in Theorem 4 and Corollary 1.

The condition  $n > 2$  can be omitted in Theorem 4, because this condition follows from other conditions: in any field, any balanced decomposition of zero into a product of two factors must be power. On the other hand, in any nonzero ring, zero has non-power decompositions into products of three and any larger numbers of factors, e.g.,  $0 = 0^{2016} \cdot b \cdot (-b)$ , where  $b$  is a nonzero element. However, there is the following simple example.

**Example 3.** In the field of complex numbers, any *nonzero* element has a non-power balanced decomposition into a product of two factors, but the nilpotent Jordan block obviously has no balanced decomposition into a product of two factors (for any field), because such a decomposition of  $J$  would mean that  $-J$  is a square, but it is not.

Example 3 also shows that, in Corollary 2, we cannot omit the condition  $k > 2$  and, in Corollary 1(a), it is impossible to replace three with two. The following example shows that, in Corollary 1(b), we cannot replace five with a lower number.

**Example 4.** As mentioned above (see Example 1), in the two-dimensional algebra  $A = \mathbb{F}_3[x]/(x^2)$ , the element  $1 + x$  does not admit a balanced decomposition into three factors. In the same algebra (as well as in the field  $\mathbb{F}_3$ ), minus one admits no balanced decomposition into a product of four factors and 1 has no balanced decompositions into products of two factors.

**Example 5.** In the field  $\mathbb{F}_2$ , the identity element does not admit balanced decompositions into products of five factors. This simple example shows that the condition on characteristic cannot be omitted in Corollary 1(b) (and in Theorem 0).

#### 4. Open questions

**Question 1** (A. V. Ivanishchuk [Iva13]). *Can any rational number be decomposed into a product of four rational numbers whose sum vanishes?\**

**Question 2.** *Can any element of any field be decomposed into a product of at most four factors whose sum vanishes?*

**Question 3.** *Does there exist a universal formula for balanced decomposition into four factor? More precisely, does the element  $t$  of the field of rational fractions  $\mathbb{C}(t)$  (or even  $\mathbb{Q}(t)$ ) admit a balanced decomposition into a product of four factors?\**

**Question 4.** *What does occur in characteristic 2? Does there exist universal formulae? Does any element of any field admit a balanced factorisation?*

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\* ) When this paper was written, we learned that the answers to Questions 1 and 3 are positive [KMP16].